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CONFIRMATION NO. 9987

Bib Data Sheet

|                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                   |                               |                                                                                                                                                      |                                              |
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| <b>SERIAL NUMBER</b><br>10/033,092                                                                                                                                                                                                                                                                                                                                                                                                             | <b>FILING OR 371(c) DATE</b><br>12/28/2001<br><b>RULE</b>                                                         | <b>CLASS</b><br>423           | <b>GROUP ART UNIT</b><br>1754                                                                                                                        | <b>ATTORNEY DOCKET NO.</b><br>11321-P012USD4 |
| <b>APPLICANTS</b><br>Richard E. Smalley, Houston, TX;<br>Daniel T. Colbert, Houston, TX;<br>Hongjie Dai, Sunnyvale, CA;<br>Jie Liu, Houston, TX;<br>Andrew G. Rinzler, Houston, TX;<br>Jason H. Hafner, Houston, TX;<br>Ken Smith, Houston, TX;<br>Ting Guo, La Jolla, CA;<br>Pavel Nikolaev, Houston, TX;<br>Andreas Thess, Martinsried, GERMANY;                                                                                             |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| <b>** CONTINUING DATA *****</b><br>This application is a DIV of 09/380,545 12/22/1999 PAT 6,683,783<br>which is a 371 of PCT/US98/04513 03/06/1998<br>which claims benefit of 60/067,325 12/05/1997<br>and claims benefit of 60/064,531 11/05/1997<br>and claims benefit of 60/063,675 10/29/1997<br>and claims benefit of 60/055,037 08/08/1997<br>and claims benefit of 60/047,854 05/29/1997<br>and claims benefit of 60/040,152 03/07/1997 |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| <b>** FOREIGN APPLICATIONS *****</b>                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>met Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature Initials                                                                                                                                              |                                                                                                                   | <b>STATE OR COUNTRY</b><br>TX | <b>SHEETS DRAWING</b><br>21                                                                                                                          | <b>TOTAL CLAIMS</b><br>10                    |
| <b>INDEPENDENT CLAIMS</b><br>2                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| <b>ADDRESS</b><br>47744                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| <b>TITLE</b><br>METHOD FOR FORMING A PATTERNED ARRAY OF SINGLE-WALL CARBON NANOTUBES                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                   |                               |                                                                                                                                                      |                                              |
| <b>FILING FEE RECEIVED</b><br>758                                                                                                                                                                                                                                                                                                                                                                                                              | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |                                              |

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|  |  | <input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit _____ |
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